Total Clarity Wealth Management, Inc.

NextStep Strategic Portfolio Program Questionnaire

Please send completed forms to trading@totalclaritywealth.com or contact Kristina at 630-762-9352.

Section 1: Advisor/IAR Information

Advisor Name:______________________ Rep ID/Master Account:______________

Business Name:____

Business Address:______________________City:____________State:________Zip:____

Business Phone:____________Cell Phone:______________Email:__________________

Section 2: Client Account Information

Client Household Name:______________________ Custodian:________________

Account Number:____________________ ☐ Qualified ☐ Non-Qualified

Client Name & Account Type:______________________ Approx. Value:______________

Account Objective:	Risk Tolerance:
☐ Capital Preservation	☐ Conservative
☐ Income	☐ Moderately Conservative
☐ Total Return/Growth with Income	☐ Moderate
☐ Growth	☐ Moderately Aggressive
☐ Aggressive Growth	☐ Significant Risk

Do you have any cost basis concerns? ☐ Yes ☐ No

If yes, what are they?____

Are there any incoming holdings / securities with sell restrictions? ☐ Yes ☐ No

If yes - list Security Tickers and Names:______________________________________

☐ Never sell and exclude from account allocation.

☐ Never sell but include as a replacement security for the model holding of the same asset class.

Are there any cash requirements above and beyond the allocation within the model? ☐ Yes ☐ No

If yes, what are those requirements?____

☐ $ Amount above and beyond the model allocation:________________

☐ % Allocation above and beyond the model allocation:______________

Will there be a systematic *distribution* from this account? ☐ Yes ☐ No

If so, please provide details: Day of Month____________ Amount______________

Will there be a systematic *contribution* from this account? ☐ Yes ☐ No

If so, please provide details: Day of Month_______________ Amount_____________________

Section 3: Investment Model Selection

Based on Client's Account Objective and Risk Tolerance, please assign this account to the model indicated below. All portfolios will be programmed to have 2% cash as the standard cash allocation. *Please note that we recommend using the Total Clarity Premier Compact Model for any account that will be $25,000 or less.*

Total Clarity Premier Portfolios

- ☐ Equity
- ☐ Growth
- ☐ Moderate Growth
- ☐ Moderate
- ☐ Moderate Conservative
- ☐ Income

State Street Active Asset Allocation Portfolios

- ☐ Maximum Growth
- ☐ Growth
- ☐ Moderate Growth
- ☐ Moderate Conservative
- ☐ Conservative

First Trust Portfolios

- ☐ Aggressive Growth_
- ☐ Moderate Growth
- ☐ Balanced Growth
- ☐ Conservative Growth
- ☐ Conservative

Wisdomtree Portfolios

- ☐ Aggressive
- ☐ Moderate
- ☐ Conservative

Total Clarity Premier Compact Portfolios

- ☐ Growth
- ☐ Moderate
- ☐ Conservative

Dimensional Funds Core Wealth Portfolios

Mutual Funds	ETFs	
☐	☐	Capital Preservation (B1)
☐	☐	Income with Cap Pres (B2)
☐	☐	Income with Mod Growth (B3)
☐	☐	Growth with Income (B4)
☐	☐	Growth (B5)
☐	☐	Aggressive Growth (B6)

Total Clarity Core Wealth ETF Portfolios

- ☐ Capital Preservation (B1)
- ☐ Income (B2)
- ☐ Moderate Growth (B3)
- ☐ Growth & Income (B4)
- ☐ Growth (B5)
- ☐ Aggressive Growth (B6)

X____

IAR Signature Date

X____

IAR Print Name