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# **Brochure Form ADV Part 2B Brochure Supplement**

Douglas E. Glick

October 17th, 2024



This brochure supplement provides information about Douglas Glick that supplements the Total Clarity Wealth Management, Inc. Form ADV Part 2A Brochure. Please contact Doug at (312) 280-0200, if you would like to receive Total Clarity's Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement.

Additional information about Doug is available on the SEC's website at www.adviserinfo.sec.gov. The searchable CRD number for Douglas E. Glick is 4965521.

Douglas E. Glick 65 West Jackson Blvd, Suite 1001 Chicago, IL. 60604

Phone: (312) 280-0200 Fax: (312) 276-4849

### Item 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

# **Doug Glick**

Year of Birth: 1968

#### Education:

Name of School	Years Attended	Year Graduated	Degree	Major
Cornell University	1986 to 1990	1990	IΗΔ	College Scholar - College of Arts & Sciences
University of Chicago	1992 to 1995	1995	JD	Degree in Law

Business Background:

Name of Employer	Type of Business	Title	Period of Employment
Total Clarity Wealth Management, Inc	Advisory and Financial Planning	Advisory Representative	04/2007 to Present
LPL Financial	Broker/Dealer	Registered Representative	10/2018 to Present
Cetera Advisor Networks	Broker/Dealer	Registered Representative Advisory Representative	06/2005 to 10/2018
Humint Solutions, LLC	Business Intelligence Consultancy	General Counsel	07/2003 to 01/2023
Latham & Watkins	Law Firm	Attorney	11/2000 to 05/2003

Securities Registrations held: Series 7, Series 66

#### Item 3 DISCIPLINARY INFORMATION

If your advisor had any reportable legal or disciplinary events during the past ten years, they will be described below:

YOUR ADVISOR DOES NOT HAVE ANY REPORTABLE LEGAL OR DISCIPINARY EVENTS.

### **Item 4 OTHER BUSINESS ACTIVITES**

In addition to serving as an Advisory Representative for Total Clarity Wealth Management, Inc. (hereinafter referred to as "Total Clarity"), Doug is a Registered Representative of LPL Financial (LPL), a Broker/Dealer, member FINRA/SIPC. Clients are under no obligation to purchase or sell securities through Doug. LPL and Total Clarity are not affiliated. As an independent contractor of LPL, Doug spends approximately 30% of his time offering securities products on a commission with LPL.

Doug may recommend clients implement recommendations through LPL. If clients implement investment recommendations through LPL, Doug may receive a commission or an advisory fee. Additionally, as further disclosed in Total Clarity's Form ADV Part 2A Brochure under the Brokerage Practices section, Doug may receive trail compensation for investments directed through LPL. Therefore, there may be a conflict of interest to cause a client to direct certain securities business through LPL.

As a Registered Representative of LPL, Doug is subject to oversight by LPL over all his securities activities and certain outside business activities. Such oversight includes the review of Doug's securities business to ensure he considers the client's best interests.

Additionally, Doug is a licensed insurance agent. You are not obligated to purchase insurance or securities products through Doug. However, if you implement insurance recommendations through him, he will receive commissions. The insurance business comprises approximately 5% of his time. The amount of income he receives from insurance business will fluctuate depending on the amount of sales. There may be other insurance products and services available through other insurance professionals at a lower cost than those products available through Doug.

For additional information, refer to the section entitled Brokerage Practices (Item 12) in Total Clarity's Form ADV Part 2A Brochure, which is available on our website: <a href="https://www.totalclaritywealth.com">www.totalclaritywealth.com</a>.

#### Item 5 ADDITIONAL COMPENSATION

Doug does not receive an economic benefit (i.e., sales awards and other prizes) from a non-client for providing advisory services.

In his role as a Registered Representative of LPL, Doug will earn commissions. The amount of commissions paid by LPL to Doug will fluctuate based on his overall production.

To mitigate these conflicts of interest, this disclosure has been provided to you. If you have any concerns about the appropriateness of Doug's recommendations based on your financial situation, you should discuss these recommendations with another financial professional.

## **Item 6 SUPERVISION**

Doug is an Advisory Representative of Total Clarity. Supervision and oversight of his activities conducted through Total Clarity is provided by Jeanne Tackett, Vice President of Operations and Chief Compliance Officer (CCO). Terry Murphy, President, is the CCO Designee in Jeanne's absence. Jeanne Tackett and Terry Murphy can be contacted at (630) 762-9352.

The CCO or Designee reviews transactions conducted in clients' accounts. Additionally, all account information required to establish an account for a client must flow through the CCO or Designee. Total Clarity has procedures in place to be aware of any outside business activities engaged in or by Doug, to oversee communications with the public, and to review personal trading activities of Doug as well as in any account over which he has direct beneficial interest.

As stated in Total Clarity's Form ADV Part 2A Brochure and as indicated above, LPL will also oversee the securities business of Doug Glick. Because he is a dually registered representative of LPL and Total Clarity, LPL has certain supervisory and administrative duties pursuant to the requirements of Conduct Rule 3040. Such review does not include the provision of investment advisory services to the clients of Total Clarity.

#### Item 7 REQUIREMENTS FOR STATE REGISTERED ADVISERS

Total Clarity is not a State Registered Adviser.